

# The Role of Institutionalized Validation in Integrating Emerging Science into Regulatory Decision-Making

Second Annual Conference on  
Governance of Emerging Technologies: Law, Policy, and Ethics  
May 27-29, 2014, Scottsdale, Arizona

**Elizabeth Beryt**

Center for Environmental Implications of Nanotechnology, Luskin Center for  
Innovation, The University of California, Los Angeles

**UCLA** Luskin School of Public Affairs

**Luskin  
Center**  
FOR INNOVATION



# Presentation Points

- 1) Alternative toxicity testing approaches as a possible solution to the EHS information gap
- 2) Overview of validation
- 3) The need for 21<sup>st</sup> century validation

# Current EHS Information on Chemicals

“the too-many chemicals problem”



Photo: Business Wire



Photo: <http://www.all-creatures.org/articles/ar-45.html>

# A New Paradigm of Toxicity Testing

A vision that advocates methods and approaches that



- (1) decrease the use of traditional *in vivo* methods and use fewer animals,
- (2) increase the number of materials that can be tested or screened,
- (3) reduce the time and cost of testing and screening, and
- (4) maintain predictive capability.

# Alternative Testing Technologies as a Possible Solution

In Vitro



In Silico

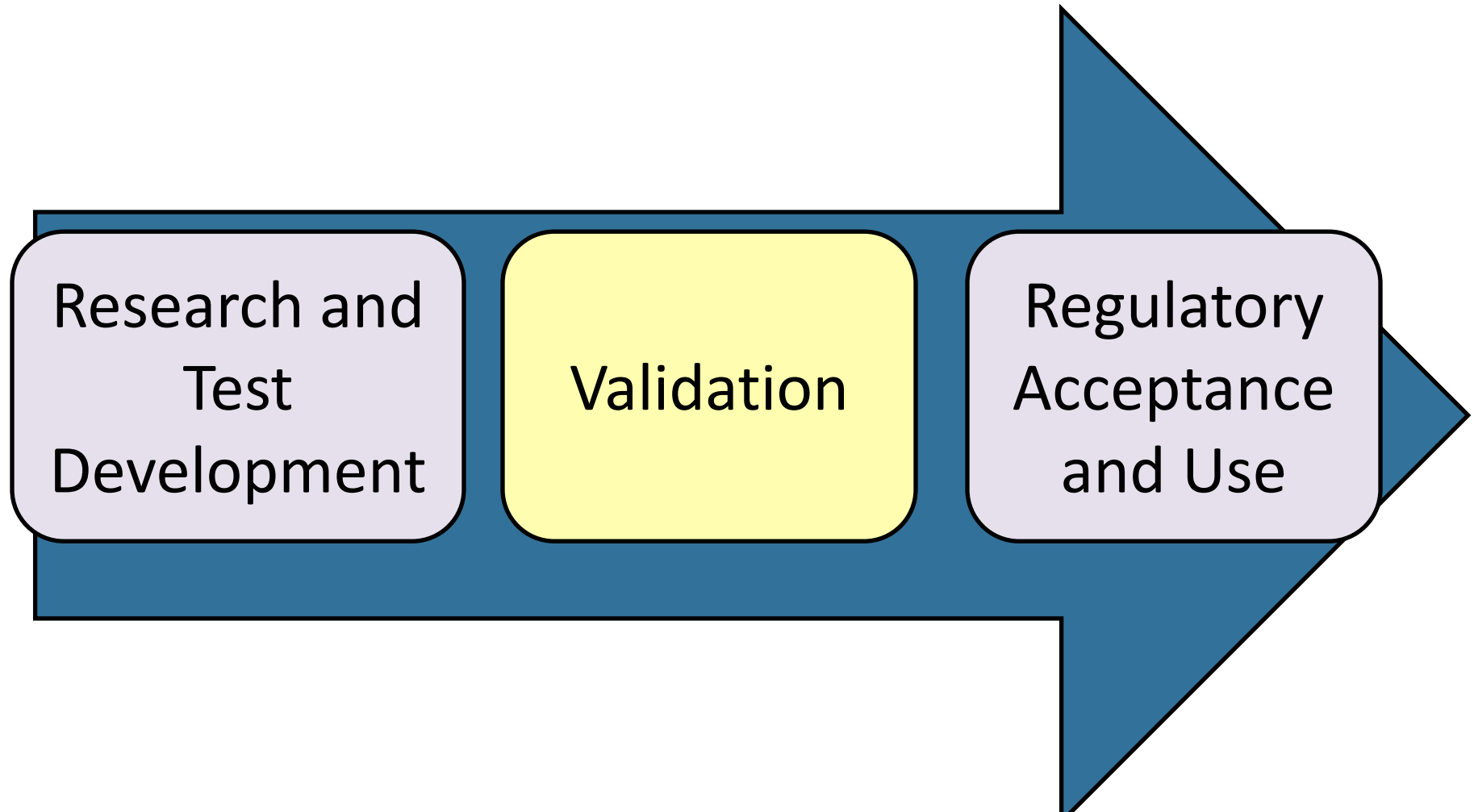


Intermediate In Vivo



<http://www.cein.ucla.edu/new/p15.php?pageID=186>

# When is a test method ready for regulatory use?





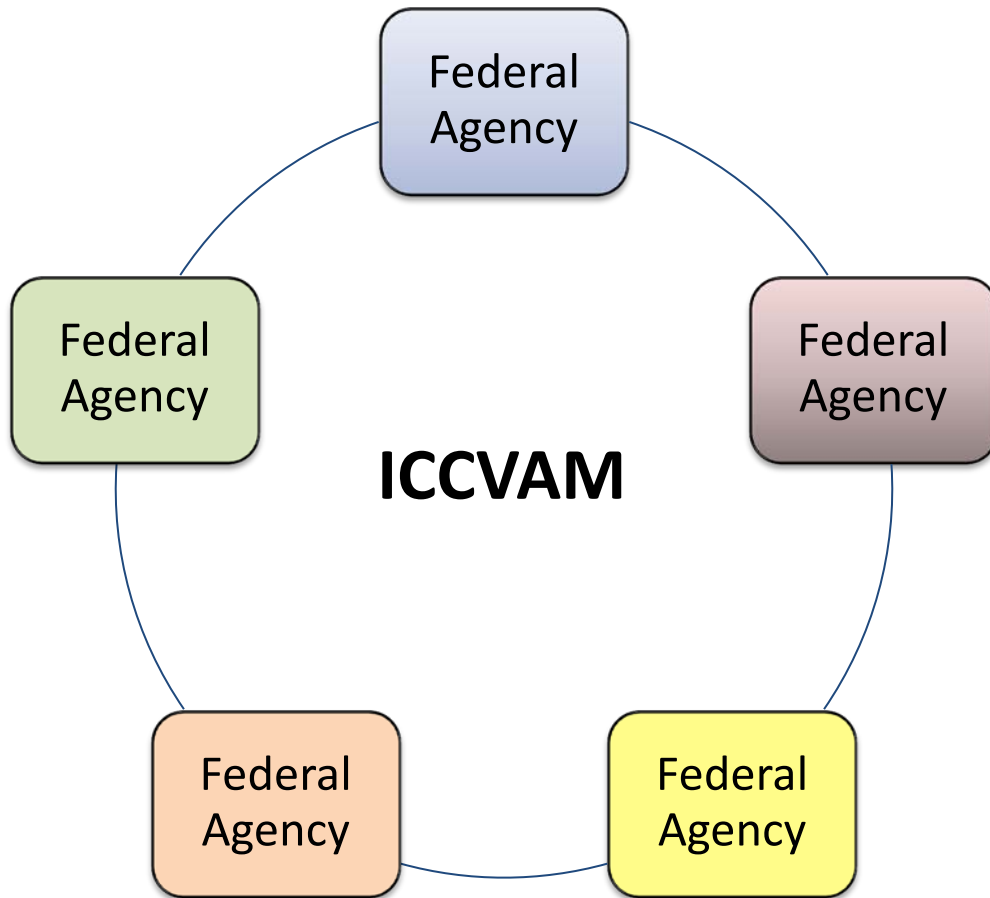
# Definition of Validation

## The OECD Definition of Validation:

“the process based on scientifically sound principles by which the reliability and relevance of a particular test, approach, method, or process are established for a specific purpose.”

OECD SERIES ON TESTING AND ASSESSMENT No 34, Development, Validation and Regulatory Acceptance of New and Updated Internationally Acceptable Test Methods in Hazard Assessment, ENV/JM/MONO(2005)14

# US Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM)

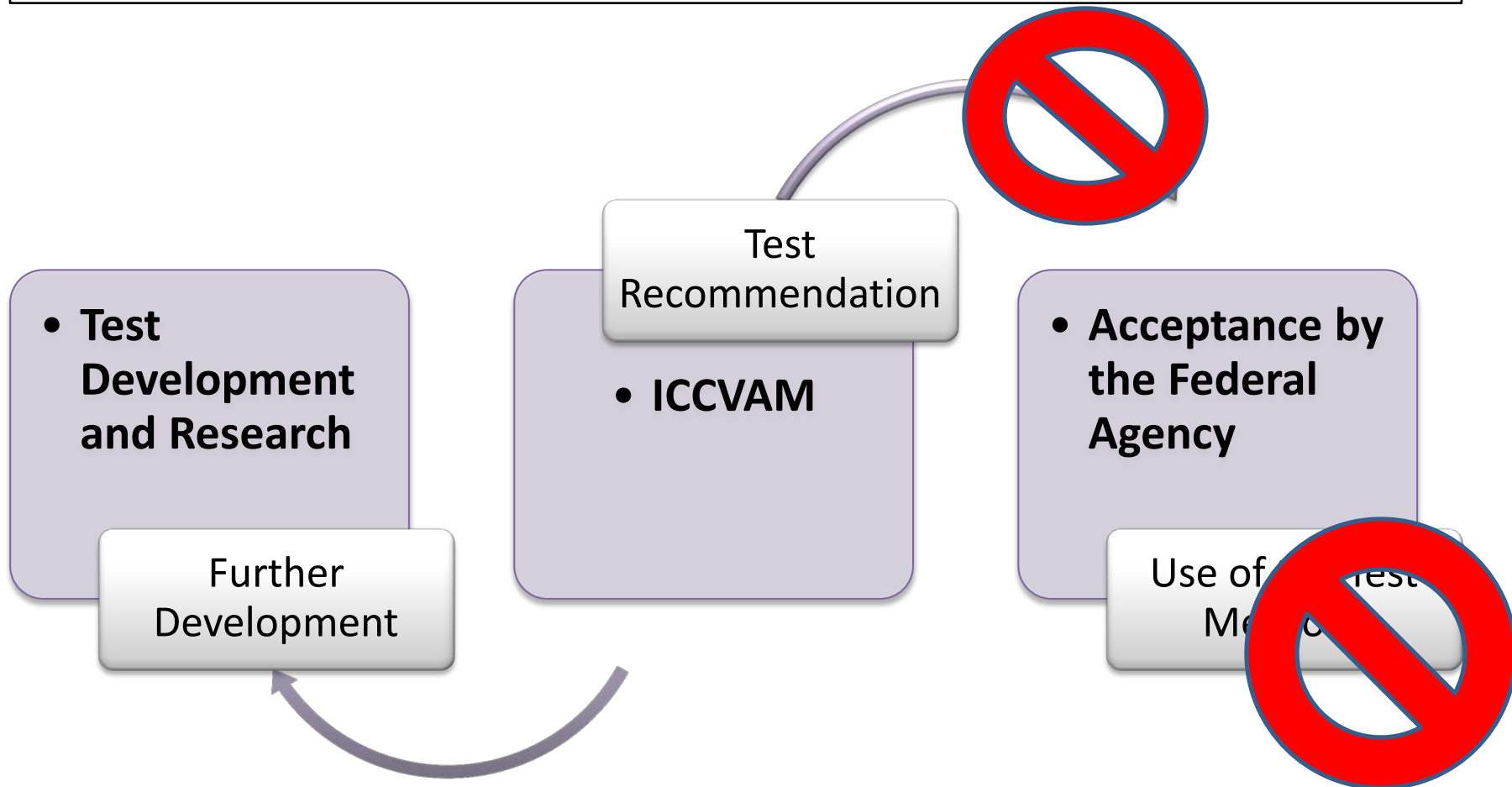


Composed of the heads of **15 federal agencies** [ § 285I-3 (c)]

ICCVAM Authorization Act of 2000, [Pub. L. No. 106-545, § 4\(e\), 114 Stat. 2721 \(2000\)](#) (codified at [42 U.S.C. §§ 201, 285I-4\(e\) \(2006\)](#))



# What is the impact of ICCVAM's test recommendations?





# Criticisms of Institutionalized Validation

- “time consuming, low throughput, and expensive.”
- Rigidity: Anchors the test and discourages further developments
- Using animal studies as the “gold standard”
- Does not address the needs of federal agencies

Richard Judson et al., (2013) Perspectives on Validation of High-Throughput Assays Supporting 21st Century Toxicity Testing. ALTEX 30, 51-66, p.52

Thomas Hartung, (2010) Lessons Learned from Alternative Methods and Their Validation for a new toxicology in the 21st Century, Journal of Toxicology & Environmental Health, Part B, 13:277-290, p.284

Thomas Hartung et al., (2013) Food for Thought—Mechanistic Validation, ALTEX 30, 119-130, p.127

Marcel Leist, Validation and quality control of replacement alternatives – current status and future challenges, Toxicol. Res., 2012, 8-12, fig.3

# The Need for 21<sup>st</sup> Century Validation



[Innovation.luskin.ucla.edu/nano](http://Innovation.luskin.ucla.edu/nano)



# The type and purpose of a Test method

## Types of Test Methods

- Screening test method
- Definitive test method
- Adjunct test methods
- Replacement test method
- Test battery

## Purposes of test methods

- Prioritization
- Comparison
- Hazard Assessment
- Risk Assessment



# A New Vision and Direction for ICCVAM

## NTP, Draft, “A New Vision and Direction for ICCVAM” (2013)

- Main change is to have member agencies take a more active role
- This will be achieved through changes in the submission/nomination process. An assay would now have to be supported by one federal agency who takes on the role of ‘sponsor’ for the proposed project.
- Focus on projects with expectation of short term success (1-5 years)
- Acknowledgement that validation needs to be re-considered for integrated testing strategies, in silico and in vitro approach for screening and prioritization- however, the role that ICCVAM will play in these paradigm shifts is unclear.



# Moving Forward

- Test methods are not one size fits all
- Type of testing that is appropriate depends on the regulatory decision
  - Is the goal to screen chemicals? To prioritize? Conduct a comprehensive risk assessment?
- Is a “full” validation necessary for every test method?
- How think about re-review, re-iteration (for new purposes, new scientific information etc.)?



# Considerations

- What is quality science?
- When is the science “good enough” to be used in regulatory decision making?
- Who gets to decide?



# Thank You!

**UCLA** Luskin School of Public Affairs

**Luskin  
Center**  
FOR INNOVATION