Policy and Regulatory Issues for Gene Drives in Insects: *Results of a Workshop* Robert M. Friedman Sarah R. Carter J. Craig Venter Institute



What is a Gene Drive?

- Initially coined to describe the process of stimulating biased inheritance of particular genes to alter entire populations
- Now increasingly used to describe the synthetic genetic element itself
- "Non-Mendelian" inheritance



Examples Under Development

- Modified mosquitoes to control malaria:
 - 500 million cases, 0.5 million deaths per year
 - Anopheles stephensi (prevent transmission)
 - Anopheles gambiae (population suppression)
- Modified insects to control agricultural pests:
 - Spotted Wing Fly (pest of soft fruits)
 - Citrus Greening Disease
 - Diamondback moth (GE but not gene drive)

Results of a Two Day Workshop January 2016

Participants:

- Scientists working to develop insects with gene drives
- Technology funders
- Federal regulators, State Dept., international orgs.
- Ethicists, ecologists, and environmental policy analysts
- Experts in laboratory biosafety and insectary standards
- Scientists with experience conducting field trials of GE insects and traditional biocontrol organisms

Funders:

- Legler Benbough Foundation
- UC San Diego, Office of Chancellor





Workshop Goals

- Identify a path to successful application of a gene drive insect (recognizing that may not be possible)
- At each step in phased pathway, explored
 - Experience to date
 - Regulatory and risk assessment information needs
 - Other gaps in knowledge
 - Challenges in earning public trust



Phased Testing Pathway for Genetically Modified Mosquitos



Laboratory testing under highly controlled conditions to obtain preliminary assessment of desired biological and functional characteristics Confined testing in a more natural setting but under conditions that limit release into the environment; ecological confinement may involve geographic/spatial and/or climatic isolation. Series of sequential trials of Increasing size, duration and complexity, at a single or multiple sites, to assess performance under various conditions (e.g. different levels of pathogen transmission, seasonal variations in mosquito density, or presence of other disease vectors in the region. Ongoing surveillance to assess effectiveness under operational conditions (both entomological and epidemiological impact), accompanied by monitoring of safety overtime and Under diverse situations



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- "Action Items" identified for each of three key groups of actors:
 - Researchers and research funders
 - U.S. regulators and policy makers
 - International organizations



Suggestions to Researchers and Research Funders

Support Research to Develop New and Varied Gene Drive Technologies

Table 1 | Comparison of the various types of gene drive systems

	Homing-based drive	X-Shredder	Medea	Toxin–antidote underdominance	Chromosomal rearrangement	Wolbachia
Туре	Either	Suppression	Replacement	Replacement	Replacement*	Replacement [‡]
Rate of spread	Fast	Moderate	Moderate	Slow	Slow	Moderate
Locally confined?	No	No	No, if low fitness cost§	Yes	Yes	No, if low fitness cost [§]
Resistance allele generation rate	High	Low	Low	Moderate	Very Low	Unknown
Reversible?	Yes	Yes	Yes	Yes	Yes	No
Removable with wild type?	No ^{II}	No [∥]	No, if low fitness cost§	Yes	Yes	No, if low fitness cost [§]
Status	Drosophila ¹⁷ , Saccharomyces ¹⁶ , Anopheles stephensi ²¹ , Anopheles gambiae ²²	Incomplete in Anopheles gambiae ⁷⁶	Drosophila ^{19,20}	Drosophila ^{18,96}	Natural examples ^{89,94}	Field tests ^{111,112}

The characteristics listed here are variable and depend on a range of factors (for example, ecology of the target species, population distribution, movement patterns, fitness costs, payload characteristics, and so on); therefore, only ideal-case scenarios are compared to emphasize intrinsic differences of the various types of drives. *Chromosomal rearrangement can be used for short-term population suppression. [‡]It is possible that male-killing strains of *Wolbachia* may be usable for population suppression. [§]High fitness costs may make these systems locally confined and removable with the release of large numbers of wild-type organisms. ^{II}Suppression types that proceed to fixation and eliminate a population will remove the gene drive system, allowing replacement with wild-type organisms.

from Champer, Buchman, Akbari, 2016

Suggestions to Researchers and Research Funders (cont.)

Regarding Gene Drive Technology Development and Products That Might Use Them:

- Support research to develop new gene drive technologies with varied characteristics
- Design applications to meet multiple objectives using the full range of available (and to be developed) gene drive technologies



Suggestions to Researchers and Research Funders (cont.)

Community Engagement

- Incorporate community engagement activities as a critical component of field testing and deployment
 - Perhaps the strongest consensus to emerge during the workshop



Suggestions to Researchers and Research Funders (cont.)

Guidance Documents on Best Practices

- Review and update existing nongovernmental guidance documents.
 - Needed for all 4 phases
 - American Society of Tropical Medicine and Hygiene
 - Policy Forum in Science magazine
 - Gates/FNIH guidance on contained field trials
- Develop guidance for community engagement



Suggestions for U.S. regulators and policy makers

Suggestions for the Office of Science and Technology Policy

- Establish a "single door" approach to the biotechnology regulatory system.
- Clarify the roles of the regulatory agencies



Suggestions for U.S. regulators and policy makers (cont.)

Suggestion for NIH

 Within NIH Guidelines, develop additional guidance for lab experiments using gene drives

Suggestion for FDA

 Clarify how FDA regulatory process might incorporate a staged-release approach, including environmental assessments



Suggestions for U.S. regulators and policy makers (cont.)

Suggestions for USDA/APHIS

- Develop a framework for staged field testing and deployment of gene drive insects.
- Evaluate and, if necessary, update laboratory containment guidelines.



Suggestions for international organizations

Suggestions related to the World Health Organization

- Review and update existing WHO guidance and training documents
 - WHO Special Programme for Research and Training in Tropical Diseases)
- Continue and expand review of GE mosquitoes by VCAG
 - Vector Control Advisory Group on New Tools)

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Suggestions for international organizations (cont.)

Suggestions related to the Cartagena Protocol

- Rely on WHO to develop detailed guidance on risk assessment of GE mosquitoes
- Encourage use of bilateral and regional agreements for field trials
- Encourage others to assist regulatory capacity building for interested developing countries

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